# **New Sharp Lower Bounds for the First Zagreb Index**

T. Mansour, M. A. Rostami, E. Suresh, G. B. A. Xavier

**Abstract:** The first Zagreb index  $M_1(G)$  is defined as the sum of squares of the degrees of the vertices. In this paper we compare and analyze numerous lower bounds for the first Zagreb index involving the number of vertices, the number of edges and the maximum and minimum vertex degree. In addition, we propose new lower bound and correct the equality case in [E.I. Milovanović and I.Ž. Milovanović, Sharp Bounds for the first Zagreb index and first Zagreb coindex, Miskolc Mathematical notes, 16 (2015) 1017-1024].

**Keywords:** First Zagreb index, second Zagreb index, inverse degree.

#### 1 Introduction

All graphs under discussion are finite, undirected and simple. Let G = (V, E) be a simple graph with n vertices and m edges. The degree of the vertex  $v_i$   $(1 \le i \le n)$  is denoted by  $d(v_i)$  such that  $d(v_1) \ge d(v_2) \ge \cdots \ge d(v_n)$ . As usual,  $\delta$  and  $\Delta$  denote the minimum and the maximum vertex degree of G. The second maximum vertex degree is denoted by  $\Delta_E$ .

In 1987, the inverse degree was first appeared through conjectures of the computer program Graffiti [7]. The inverse degree of a graph G with no isolated vertices are defined as  $ID(G) = \sum_{v \in V(G)} \frac{1}{d(v)}$ . For the recent results of the inverse degree, refer [2, 11]. In 1972, Gutman and Trinajstić [8] explored the study of total  $\pi$ -electron energy on the molecular structure and introduced two vertex degree-based graph invariants. These invariants are defined as  $M_1(G) = \sum_{v \in V(G)} d(v)^2$  and  $M_2(G) = \sum_{uv \in E(G)} d(u)d(v)$ . One of the most important and common mathematical property of these invariants are studying the bounds for the graphs. For the recent improvements of these bounds see [4, 10] and the references are cited therein. These bounds as usual depends on their structural variables  $(n, m, \Delta, \delta)$  and similar).

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In chemical and mathematical literature numerous upper bounds are obtained for the Zagreb indices, however only very few lower bounds are discovered. This motivates the authors to propose some new lower bounds for the first Zagreb index involving the new parameter inverse degree ID(G) with  $n, m, \Delta, \Delta_2$  and  $\delta$ . In addition, we compare and analyze our results with the existing lower bounds in the literature so far. Finally, we conclude that our results are stronger and are the improvement of the existing results.

### 2 Preliminaries

A bidegreed graph is a graph whose vertices have exactly two degrees  $\Delta$  and  $\delta$ . Let  $\Gamma$  be the class of graphs such that  $d(v_i) = \delta$ , i = 2, 3, ..., n.  $\Gamma$  is the special case of the Bidegreed graphs. Let  $\Gamma_2$  and  $\Gamma_3$  be the class of graphs, such that  $d(v_2) = \cdots = d(v_{n-1}) = \Delta_2$ ,  $d(v_n) = \delta$  with  $d(v_1) > d(v_i)$ , i = 2, 3, ..., n and  $d(v_i) = \delta$  with  $d(v_1) \geq d(v_2) > d(v_i)$ , i = 3, 4, ..., n respectively.

Next we recall the lower bounds for the first Zagreb index available in the literature (see [5, 9, 12, 6]).

**Lemma 1.** Let G be a graph with n vertices and m edges. Then

$$M_1(G) \ge \frac{4m^2}{n} \tag{1}$$

equality is attained if and only if G is regular.

In 2003, Das [3] obtained the following lower bound which is better than Lemma 1.

**Lemma 2.** Let G be a graph with n vertices and m edges. Then

$$M_1(G) \ge \Delta^2 + \delta^2 + \frac{(2m - \Delta - \delta)^2}{n - 2} \tag{2}$$

with equality if and only if G is regular or  $G \in \Gamma$  or  $G \in \Gamma_2$ .

In 2015, Das, Xu and Nam [4] also proposed a new improvement for Lemma 1.

**Lemma 3.** Let G be a graph of order  $n(\geq 3)$ , m edges with maximum degree  $\Delta$ , second maximum degree  $\Delta_2$  and minimum degree  $\delta$ . Then

$$M_1(G) \ge \Delta^2 + \frac{(2m-\Delta)^2}{n-1} + \frac{2(n-2)}{(n-1)^2} (\Delta_2 - \delta)^2$$
 (3)

with equality if and only if G is regular or  $G \in \Gamma$ .

### 3 Correction of equality case

Very recently, E.I. Milovanović and Ž. Milovanović [10] have proposed a new lower bound for the first Zagreb index. In addition, it was proved that Lemma 4 is better than Lemma 1.

**Lemma 4.** Let G be a graph of order  $n \ge 2$  and m edges. Then

$$M_1(G) \ge \frac{4m^2}{n} + \frac{1}{2}(\Delta - \delta)^2$$
 (4)

with equality if and only if G is isomorphic with k-regular graph,  $1 \le k \le n-1$ .

**Remark**: At first, the conclusion which relates to the equality case of (4) is wrong, which we intent to complete the equality case in Lemma 4. The equality of (4) holds for the graphs other than k—regular graphs (See Graphs  $G_1$  and  $G_2$  of Fig. 1).

Let *G* be a graph with vertex degrees  $d(v_1) = \delta + 2$ ,  $d(v_2) = \cdots = d(v_{n-1}) = \delta + 1$  and  $d(v_n) = \delta$ . Then

$$2m = \sum_{i=1}^{n} d(v_i) = n(\delta + 1)$$

$$M_1(G) = \sum_{i=1}^{n} d(v_i)^2 = (\delta + 2)^2 + (n-2)(\delta + 1)^2 + \delta^2 = n(\delta + 1)^2 + 2$$

from the inequality (4), we have

$$\frac{4m^2}{n} + \frac{1}{2}(\Delta - \delta)^2 = \frac{1}{n}n(\delta + 1)n(\delta + 1) + \frac{1}{2}(\delta + 2 - \delta)^2 = n(\delta + 1)^2 + 2$$

this completes that the equality of (4) holds for the above case. Conversely, it is easy to see that, if the equality holds in (4), then G has the vertex degrees  $d(y_1) = \delta + 2$ ,  $d(v_2) = \cdots = d(v_{n-1}) = \delta + 1$  and  $d(v_n) = \delta$ .

Similarly, the equality of (4) holds for the graphs with even order, whose vertex degrees are  $d(v_1) = 2k + 3$ ,  $d(v_2) = \cdots = d(v_{n-1}) = 2k + 1$  and  $d(v_n) = 2k - 1$  with  $k \ge 1$ . In addition, equality holds for  $d(v_1) = 2k + 4$ ,  $d(v_2) = \cdots = d(v_{n-1}) = 2k + 2$  and  $d(v_n) = 2k$ . In the same intuition one can conjecture that the equality of (4) holds for all graphs with vertex degrees  $d(v_2) = \cdots = d(v_{n-1})$ , it is not true in general (Refer Graph  $G_3$  of Fig. 1).

Finally we conclude, the equality of (4) also holds if and only if  $d(v_1) = \Delta$ ,  $d(v_2) = \cdots = d(v_{n-1}) = \Delta - k$  and  $d(v_n) = \delta$  for some  $0 < k < \Delta - \delta$ . Thus, it is easy to see that the bound in (2) is always better than (4) and so we left the proof to the interested reader.

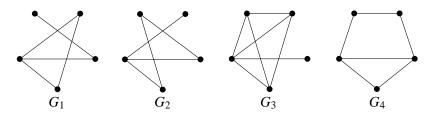


Fig. 1. Graphs on 5 vertices.

### 4 Lower Bounds on First Zagreb index

Now, our aim is to improve the existing bounds and as well as to give some new lower bounds for the first Zagreb index in terms of  $n, m, \Delta, \Delta_2$  and  $\delta$ . At first we improve the classical lower bound proposed in Lemma 1.

**Theorem 1.** Let G be a simple graph of order  $n \ge 3$ . Then

$$M_1(G) \ge \Delta^2 + \Delta_2^2 + \frac{(2m - \Delta - \Delta_2)^2}{(n-2)}$$
 (5)

equality holds if and only if G is regular or  $G \in \Gamma$  or  $G \in \Gamma_3$ .

*Proof.* Let  $a_1, a_2, ..., a_r$  and  $b_1, b_2, ..., b_r$  be any two sequences of real numbers, then by Cauchy-Schwartz inequality, we get

$$\sum_{i=1}^{r} a_i^2 \sum_{i=1}^{r} b_i^2 \ge \left(\sum_{i=1}^{r} a_i b_i\right)^2. \tag{6}$$

If we set r = n - 2,  $a_i = d(v_{i+2})$  and  $b_i = 1$ , for all  $i = 1, 2, \dots, r$ , in the above, and using

$$\sum_{i=3}^{n} d(v_i) = 2m - \Delta - \Delta_2 \text{ and } \sum_{i=3}^{n} d(v_i)^2 = M_1^2(G) - \Delta^2 - \Delta_2^2,$$
 (7)

we get the required inequality. Suppose  $G \in \Gamma_3$ , then  $d(v_i) = \delta$ , for i = 3, 4, ..., n. So  $(n-2)\delta = 2m - \Delta - \Delta_2$  and  $M_1^2(G) = \Delta^2 + \Delta_2^2 + (n-2)\delta^2$ . Next, if  $G \in \Gamma$ , then  $d(v_2) = \Delta_2 = \delta$ . So it is easy to see that if  $G \in \Gamma$  or G is regular, then equality holds.

Conversely, if the equality of (5) holds, then  $\sum_{i=3}^{n} d(v_i)^2 = \frac{(2m-\Delta-\Delta_2)^2}{(n-2)}$ . Using the equality condition of (1), we conclude that  $d(v_i) = \delta$ , for  $i = 3, 4, \dots, n$  and  $d(v_1) \ge d(v_2) > \delta$ , that is,  $G \in \Gamma$  or  $G \in \Gamma_3$ .

**Corollary 1.** With the assumptions in Theorem 1, one has the inequality

$$M_1(G) \ge \Delta^2 + \frac{(2m - \Delta)^2}{(n - 1)} \tag{8}$$

equality holds if and only if G is regular or  $G \in \Gamma$ .

**Remark 1.** For any graph G, the lower bound (5) to be better than (1). In order to prove this, first we have to show that (8) is better than (1). Suppose, we assume that

$$\Delta^2 + \frac{(2m-\Delta)^2}{n-1} \le \frac{4m^2}{n},$$

that is

$$n(n-1)\Delta^2 + (2m-\Delta)^2 \ge 4m^2(n-1) \Rightarrow (2m-n\Delta)^2 \le 0,$$

which leads to the contradiction and which fulfill our claim. Next, by Root Mean Square - Geometric Mean inequality, the following inequality is always true,

$$(n-1)^2 \Delta_2^2 + (2m-\Delta)^2 \ge 2(n-2)(2m-\Delta)\Delta_2$$

that is

$$(n-1)(n-2)\Delta_2^2 + (n-1)(2m-\Delta-\delta)^2 \ge (n-2)(2m-\Delta)^2$$
.

Thus

$$\Delta^2 + \Delta_2^2 + \frac{(2m - \Delta - \Delta_2)^2}{(n-2)} \ge \Delta^2 + \frac{(2m - \Delta)^2}{(n-1)},$$

which completes our claim.

The lower bounds in (2) and (5) are incomparable. Namely, there exist molecular graph 1,1-diethylcyclobutane for which (2) is better than (5), and for 1,2-diethylcyclobutane (5) is better than (2). It is interesting to see that for 1,1-dimethylcyclopropane, the lower bounds in (2) and (5) coincides together, other than equality case.

**Theorem 2.** Let G be a simple graph of order  $n \ge 3$  with no isolated vertices. Then

$$M_1^2(G) \ge \Delta^2 + \Delta_2^2 + \frac{(2m - \Delta - \Delta_2)^2}{n - 2} + \frac{(2m - \Delta - \Delta_2)\left(ID(G) - \frac{1}{\Delta} - \frac{1}{\Delta_2}\right)}{n - 2} - (n - 2), \tag{9}$$

and equality holds if and only if G is regular or  $G \in \Gamma$  or  $G \in \Gamma_3$ .

*Proof.* Consider  $w_1, w_2, ..., w_r$  be the non-negative weights, then we have the weighted version of the Cauchy-Schwartz inequality

$$\sum_{i=1}^{r} w_i a_i^2 \sum_{i=1}^{r} w_i b_i^2 \ge \left(\sum_{i=1}^{r} w_i a_i b_i\right)^2.$$
 (10)

Since  $w_i$  is non-negative, we assume that  $w_i = x_i - y_i$  with  $x_i \ge y_i \ge 0$ . So, we get

$$\sum_{i=1}^{r} x_i a_i^2 \sum_{i=1}^{n} x_i b_i^2 - \left(\sum_{i=1}^{r} x_i a_i b_i\right)^2 \ge \sum_{i=1}^{r} y_i a_i^2 \sum_{i=1}^{r} y_i b_i^2 - \left(\sum_{i=1}^{r} y_i a_i b_i\right)^2 \ge 0.$$

If we set r = n - 2,  $a_i = d(v_{i+2})$  and  $b_i = 1$ , i = 1, 2, ..., r, and since G has no isolated vertices, then we have  $\frac{1}{d(v_i)} \le 1$ ,  $\forall v_i \in V(G)$ . so fix  $x_i = 1, y_i = \frac{1}{d(v_i)}$  in the above, we get

$$(n-2)\sum_{i=3}^{n}d(v_{i})^{2} - \left(\sum_{i=3}^{n}d(v_{i})\right)^{2} \ge \sum_{i=3}^{n}d(v_{i})\sum_{i=3}^{n}\frac{1}{d(v_{i})} - (n-2)^{2} \ge 0$$

$$\left(M_{1}^{2}(G) - \Delta^{2} - \Delta_{2}^{2}\right)(n-2) \ge (2m - \Delta - \Delta_{2})\left(ID(G) - \frac{1}{\Delta} - \frac{1}{\Delta_{2}}\right)$$

$$+ (2m - \Delta - \Delta_{2})^{2} - (n-2)^{2}.$$

$$(11)$$

The equality case follows the similar argument of Theorem 1, which completes our claim.

**Corollary 2.** With the assumptions in Theorem 2, one has the inequality

$$M_1^2(G) \ge \Delta^2 + \delta^2 + \frac{(2m - \Delta - \delta)^2}{n - 2} + \frac{(2m - \Delta - \delta)\left(ID(G) - \frac{1}{\Delta} - \frac{1}{\delta}\right)}{n - 2} - (n - 2),$$
 (12)

and equality holds if and only if G is regular or  $G \in \Gamma$  or  $G \in \Gamma_2$ .

Remark 2. Utilizing the inequality (11), we get

$$(2m-\Delta-\Delta_2)\left(ID(G)-\frac{1}{\Delta}-\frac{1}{\Delta_2}\right)\geq (n-2)^2,$$

this concludes that for any graph G with  $n(\geq 3)$ , our lower bound (9) is always better than the lower bound (5). In analogy, also we conclude that the lower bound in (12) is stronger than (2).

It is interesting to see that, the lower bounds in (3) and (9) are incomparable. For the graph  $G_1$ , the lower bound in (9) is better than (3) and for  $G_4$ , the lower bound in (3) is better than (9), depicted in Fig. 1.

**Theorem 3.** Let G be a simple graph of order  $n \ge 3$  with no isolated vertices. Then

$$M_1^2(G) \ge \Delta^2 + \Delta_2^2 + \Psi_1^* \tag{13}$$

equality holds if and only if G is regular or  $G \in \Gamma$  or  $G \in \Gamma_3$ ,

where 
$$\Psi_1^* = \frac{\left((2(m+1)-n-\Delta-\Delta_2)+\sqrt{(2m-\Delta-\Delta_2)\left(ID(G)-\frac{1}{\Delta}-\frac{1}{\Delta_2}\right)}\right)^2}{n-2}$$
.

*Proof.* Using (10), one can get

$$\left(\sum_{i=1}^{n} x_{i} a_{i}^{2}\right)^{\frac{1}{2}} \left(\sum_{i=1}^{n} x_{i} b_{i}^{2}\right)^{\frac{1}{2}} - \sum_{i=1}^{n} x_{i} a_{i} b_{i} \geq \left(\sum_{i=1}^{n} y_{i} a_{i}^{2}\right)^{\frac{1}{2}} \left(\sum_{i=1}^{n} y_{i} b_{i}^{2}\right)^{\frac{1}{2}} - \sum_{i=1}^{n} y_{i} a_{i} b_{i} \geq 0,$$

the rest of the proof follows from the same terminology of the Theorem 2.

**Corollary 3.** With the assumptions in Theorem 2, one has the inequality

$$M_1^2(G) \ge \Delta^2 + \delta^2 + \Psi_2^*,$$
 (14)

and equality holds if and only if G is regular or  $G \in \Gamma$  or  $G \in \Gamma_2$ ,

where 
$$\Psi_2^* = \frac{\left((2(m+1)-n-\Delta-\delta)+\sqrt{(2m-\Delta-\delta)\left(ID(G)-\frac{1}{\Delta}-\frac{1}{\delta}\right)}\right)^2}{n-2}$$

**Remark 3.** Our bound given by (13) is always better than (3). In order to prove this, we have to show that

$$\Delta^2 + \Delta_2^2 + \Psi_1^* \ge \Delta^2 + \frac{(2m-\Delta)^2}{n-1} + \frac{2(n-2)}{(n-1)^2} \left(\Delta_2^2 + \delta^2 - 2\Delta_2\delta\right).$$

By direct observation we have,  $2\Delta_2\delta > \delta^2$ ,

$$\Delta^2 + \frac{(2m-\Delta)^2}{n-2} > \Delta^2 + \frac{(2m-\Delta)^2}{n-1} \text{ and } \frac{(n-1)}{(n-2)} \Delta_2^2 > \frac{2(n-2)}{(n-1)^2} \Delta_2^2.$$

using the above results, we complete our claim.

## 5 Computational Results

In this section, we compare five lower bounds for the first Zagreb index. For computational purpose, we used GraphTea[1], a software tool focusing on extracting information and visualization on graphical problems. It offers powerful ways to query or directly interact with properties of a particular instance of a graphical problem. It is specially designed for analyze properties of topological indices.

In Table 1, we present the computational results for connected graphs on n=3 to n=9 vertices and trees on n=10 to n=20 vertices. The first three columns contain n, the number of connected graphs (trees) on n vertices and the average value of the first Zagreb index  $M_1(G)$ . The next four groups of three columns represent the average value of the lower bound, the standard deviation  $\sqrt{\frac{\sum_G (M_1(G) - X(G))^2}{vertex\ count}}$  and the number of graphs for which the equality holds.

On comparing these values along with the Remark 3, we conclude that our bounds (13) and (14) has the smallest deviation from the first Zagreb index and are stronger than the existing results so far in the literature.

Lemma 4	Eq.	1	8	4	13	14	1111	301	0	0	0	0	0	0	0	0	0	0	0
	Stdev.	0.118	0.500	1.189	2.214	3.552	5.221	7.203	7.665	8.749	806.6	11.006	12.164	13.303	14.478	15.653	16.849	18.051	19.266
	Avg.	8.917	19.333	34.543	53.908	79.682	113.991	159.804	37.999	42.400	46.667	50.951	55.188	59.429	63.642	67.853	72.050	76.241	80.422
Lemma 3	Eq.	2	8	5	7	17	36	136	1	1	1	1	1	1	1	1	1	1	1
	Stdev.	0.000	0.157	0.708	1.818	3.419	5.501	8.004	4.232	5.150	6.235	7.270	8.385	9.481	10.620	11.757	12.917	14.083	15.261
	Avg.	000.6	19.556	34.893	54.186	79.745	113.677	159.008	40.770	45.312	49.654	54.008	58.300	62.598	66.861	71.124	75.370	79.611	83.842
Corollary 3	Eq.	2	4	6	19	52	181	890	1	1	1	1	1	1	1	1	1	1	1
	Stdev.	0.000	0.131	0.488	1.101	1.953	3.155	4.711	2.406	2.836	3.420	3.920	4.495	5.034	5.611	6.178	6.763	7.347	7.941
	Avg.	000.6	19.596	35.149	54.896	81.114	115.837	162.043	42.723	47.747	52.615	57.504	62.349	67.203	72.032	76.866	81.687	86.506	91.319
Theorem 3	Eq.	2	S	6	22	47	176	657	S	5	9	9	7	7	∞	∞	6	6	10
	Stdev.	0.000	0.053	0.293	0.832	1.668	2.852	4.437	1.063	1.374	1.715	2.077	2.458	2.850	3.260	3.683	4.120	4.569	5.029
	Avg.	000.6	19.645	35.237	55.064	81.314	116.078	162.259	43.757	48.919	53.973	58.992	63.993	68.982	73.956	78.924	83.882	88.833	93.776
Parameters	Avg.	000.6	19.667	35.429	55.661	82.626	118.451	166.106	44.585	50.026	55.401	60.764	66.129	71.495	76.860	82.230	87.603	92.979	98.358
	Count	2	9	21	112	853	111117	261080	106	235	551	1301	3159	7741	19320	48629	123867	317955	823065
	и	3	4	2	9	7	∞	6	10	11	12	13	14	15	16	17	18	19	20

Table 1. Comparing the lower bounds for graphs up to 9 vertices and trees from 10 to 20 vertices on the first Zagreb index.

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